

SALARIES AND EXPENSES—Continued
Employment Summary

Identification code 016-0148-0-1-505	2014 actual	2015 est.	2016 est.
1001 Direct civilian full-time equivalent employment	683	650	660

OFFICE OF LABOR MANAGEMENT STANDARDS

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the Office of Labor-Management Standards, **[\$39,129,000] \$46,981,000.** (Department of Labor Appropriations Act, 2015.)

Program and Financing (in millions of dollars)

Identification code 016-0150-0-1-505	2014 actual	2015 est.	2016 est.
Obligations by program activity:			
0002 Labor-management standards	39	39	47
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	39	39	47
1160 Appropriation, discretionary (total)	39	39	47
1930 Total budgetary resources available	39	39	47
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	3	5
3010 Obligations incurred, unexpired accounts	39	39	47
3020 Outlays (gross)	-38	-37	-45
3050 Unpaid obligations, end of year	3	5	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	3	5
3200 Obligated balance, end of year	3	5	7
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	39	39	47
Outlays, gross:			
4010 Outlays from new discretionary authority	37	35	43
4011 Outlays from discretionary balances	1	2	2
4020 Outlays, gross (total)	38	37	45
4180 Budget authority, net (total)	39	39	47
4190 Outlays, net (total)	38	37	45

The Office of Labor-Management Standards (OLMS) receives and discloses reports of unions, union officers and employees, employers, labor consultants and others in accordance with the Labor Management Reporting and Disclosure Act (LMRDA), including union financial reports and employer and consultant activity reports; audits union financial records and investigates possible embezzlements of union funds; conducts union officer election investigations; supervises reruns of union officer elections pursuant to voluntary settlements or after court determinations that elections were not conducted in accordance with the LMRDA; and administers the statutory program to certify employee protection provisions under various Federally-sponsored transportation programs. In 2016, OLMS plans continued efforts to advance transparency and financial integrity protections, primarily through audits, investigations and compliance assistance efforts. OLMS will ensure that Federally sponsored transportation grants are processed in a timely manner providing requisite protection to employees against adverse impacts as a result of federal assistance.

Object Classification (in millions of dollars)

Identification code 016-0150-0-1-505	2014 actual	2015 est.	2016 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	20	20	21
12.1 Civilian personnel benefits	7	6	7
21.0 Travel and transportation of persons	1	1	1

23.1 Rental payments to GSA	3	3	3
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources	4	5	5
25.7 Operation and maintenance of equipment	3	3	9
99.9 Total new obligations	39	39	47

Employment Summary

Identification code 016-0150-0-1-505	2014 actual	2015 est.	2016 est.
1001 Direct civilian full-time equivalent employment	211	215	215

OCCUPATIONAL SAFETY AND HEALTH
ADMINISTRATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the Occupational Safety and Health Administration, **[\$552,787,000] \$592,071,000**, including not to exceed **[\$100,850,000] \$104,337,000** which shall be the maximum amount available for grants to States under section 23(g) of the Occupational Safety and Health Act (the Act), which grants shall be no less than 50 percent of the costs of State occupational safety and health programs required to be incurred under plans approved by the Secretary under section 18 of the Act; and, in addition, notwithstanding 31 U.S.C. 3302, the Occupational Safety and Health Administration may retain up to \$499,000 per fiscal year of training institute course tuition and fees, otherwise authorized by law to be collected, and may utilize such sums for occupational safety and health training and education: *Provided*, That notwithstanding 31 U.S.C. 3302, the Secretary is authorized, during the fiscal year ending September 30, [2015] 2016, to collect and retain fees for services provided to Nationally Recognized Testing Laboratories, and may utilize such sums, in accordance with the provisions of 29 U.S.C. 9a, to administer national and international laboratory recognition programs that ensure the safety of equipment and products used by workers in the workplace: *Provided further*, That none of the funds appropriated under this paragraph shall be obligated or expended to prescribe, issue, administer, or enforce any standard, rule, regulation, or order under the Act which is applicable to any person who is engaged in a farming operation which does not maintain a temporary labor camp and employs 10 or fewer employees: *Provided further*, That no funds appropriated under this paragraph shall be obligated or expended to administer or enforce any standard, rule, regulation, or order under the Act with respect to any employer of 10 or fewer employees who is included within a category having a Days Away, Restricted, or Transferred (DART) occupational injury and illness rate, at the most precise industrial classification code for which such data are published, less than the national average rate as such rates are most recently published by the Secretary, acting through the Bureau of Labor Statistics, in accordance with section 24 of the Act, except—

- (1) to provide, as authorized by the Act, consultation, technical assistance, educational and training services, and to conduct surveys and studies;
- (2) to conduct an inspection or investigation in response to an employee complaint, to issue a citation for violations found during such inspection, and to assess a penalty for violations which are not corrected within a reasonable abatement period and for any willful violations found;
- (3) to take any action authorized by the Act with respect to imminent dangers;
- (4) to take any action authorized by the Act with respect to health hazards;
- (5) to take any action authorized by the Act with respect to a report of an employment accident which is fatal to one or more employees or which results in hospitalization of two or more employees, and to take any action pursuant to such investigation authorized by the Act; [and]
- (6) to take any action authorized by the Act with respect to complaints of discrimination against employees for exercising rights under the Act; and
- (7) to take any action authorized by the Act with respect to certain employers with a low DART rate and employing 10 or fewer employees within the past twelve months, that operate processes where the potential for a catastrophic chemical incident exists, defined as any establishment that operates a process covered by OSHA's Process Safety of Highly Hazardous Chemicals standard (29 CFR 1910.119) or the Environmental Protection Agency's Chemical Accident Prevention Provisions (40 CFR 68), except that this subparagraph (7) shall not apply to employers conducting farming, harvesting, or processing operations on farms:

Provided further, That the foregoing proviso shall not apply to any person who is engaged in a farming operation which does not maintain a temporary labor camp and employs 10 or fewer employees: *Provided further*, That \$10,687,000 shall be

available for Susan Harwood training grants. (*Department of Labor Appropriations Act, 2015.*)

Program and Financing (in millions of dollars)

Identification code 016-0400-0-1-554	2014 actual	2015 est.	2016 est.
Obligations by program activity:			
0001 Safety and health standards	20	20	23
0002 Federal enforcement	208	208	226
0003 Whistleblower protection	17	18	23
0004 State programs	100	101	104
0005 Technical support	24	24	24
0006 Federal compliance assistance	69	68	73
0007 State consultation grants	58	58	58
0008 Training grants	11	11	11
0009 Safety and health statistics	34	34	39
0010 Executive direction and administration	11	11	11
0799 Total direct obligations	552	553	592
0801 Salaries and Expenses (Reimbursable)	2	2	2
0900 Total new obligations	554	555	594
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	552	553	592
1160 Appropriation, discretionary (total)	552	553	592
Spending authority from offsetting collections, discretionary:			
1700 Collected	2	3	3
1750 Spending auth from offsetting collections, disc (total)	2	3	3
1900 Budget authority (total)	554	556	595
1930 Total budgetary resources available	554	556	596
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		1	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	79	95	86
3010 Obligations incurred, unexpired accounts	554	555	594
3020 Outlays (gross)	-533	-564	-594
3041 Recoveries of prior year unpaid obligations, expired	-5		
3050 Unpaid obligations, end of year	95	86	86
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-3	-3	-3
3090 Uncollected pymts, Fed sources, end of year	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	76	92	83
3200 Obligated balance, end of year	92	83	83
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	554	556	595
Outlays, gross:			
4010 Outlays from new discretionary authority	481	484	518
4011 Outlays from discretionary balances	52	80	76
4020 Outlays, gross (total)	533	564	594
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources		-1	-1
4033 Non-Federal sources	-2	-2	-2
4040 Offsets against gross budget authority and outlays (total)	-2	-3	-3
4070 Budget authority, net (discretionary)	552	553	592
4080 Outlays, net (discretionary)	531	561	591
4180 Budget authority, net (total)	552	553	592
4190 Outlays, net (total)	531	561	591

Safety and Health Standards.—This activity provides for the protection of workers' safety and health through development, promulgation, review, and evaluation of occupational safety and health standards and guidance, as specified under the Occupational Safety and Health Act of 1970 (OSH Act). Before any standard is proposed or promulgated, a determination is made that: (1) a significant risk of serious injury or health impairment exists; (2) the standard will reduce this risk; (3) the standard is economically and technologically feasible; and (4) the standard is economically and technologically

feasible when compared with alternative regulatory proposals providing equal levels of protection. This activity also ensures, through the SBREFA process, that small business concerns are taken into account in the process of developing standards.

Federal Enforcement.—This activity provides for ensuring the protection of employees through the enforcement of workplace standards promulgated under the OSH Act, through the physical inspection of worksites, and by providing guidance on how to comply with the requirements of OSHA standards. Enforcement programs are targeted to the investigation of imminent danger situations and employee complaints, investigation of fatal and catastrophic accidents, programmed inspections of firms with injury and illness rates that are above the national average, and special emphasis inspections for serious safety and health hazards. OSHA's enforcement strategy ranges from a selective targeting of inspections and related compliance activities to specific high hazard industries and worksites.

Whistleblower Programs.—This activity provides for the enforcement of Section 11(c) of the OSH Act, which prohibits any person from discharging or in any manner retaliating against any employee because the employee has exercised rights under the Act, including complaining to OSHA and seeking an OSHA inspection, participating in an OSHA inspection, and participating or testifying in any proceeding related to an OSHA inspection. This activity also includes the administration of twenty-one other whistleblower protection statutes, protecting employees who report violations of various airline, commercial motor carrier, consumer product, environmental, financial reform, food safety, health care reform, nuclear, pipeline, public transportation agency, railroad, maritime, automotive manufacturing, and securities laws.

State Programs.—This activity supports states in assuming responsibility for administering occupational safety and health programs under State Plans approved by the Secretary. Under section 23 of the OSH Act, grants matching up to 50 percent of total program costs are made to States that meet the Act's criteria for establishing and implementing State programs that are at least as effective as the Federal OSHA program. State programs, like Federal OSHA, provide a mix of enforcement, outreach, training, and compliance assistance activities.

Technical Support.—This activity provides specialized technical expertise and advice in support of a wide range of program areas, including construction, standards setting, variance determinations, compliance assistance, and enforcement. Areas of expertise include laboratory accreditation, industrial hygiene, occupational health nursing, occupational medicine, chemical analysis, equipment calibration, safety engineering, environmental impact statements, technical and scientific databases, computer-based outreach products, and emergency preparedness. This activity also provides support for OSHA's emergency response activities, including responses to oil spills, hurricanes, tornados, and other natural or man-made disasters.

Federal Compliance Assistance.—This activity supports a range of training, outreach, and cooperative programs that provide compliance assistance for employers and employees in protecting workers' safety and health, with particular emphasis on small business, temporary, immigrant, and other high-risk and hard-to-reach workers. OSHA works with employers and employees through Voluntary Protection Programs that recognize and promote effective safety and health management partnerships that focus on the development of extended cooperative relationships and alliances that commit organizations to collaborative efforts with OSHA. This activity also provides assistance to federal agencies in implementing and improving their job safety and health programs. Occupational safety and health training is provided at the OSHA Training Institute and affiliated Education Centers throughout the country. Compliance and technical assistance materials are prepared and disseminated to the public through various means, including the Internet.

State Compliance Assistance: Consultation Grants.—This activity supports 90 percent federally funded cooperative agreements with designated State agencies to provide free on-site consultation to small and medium-sized employers upon request. State agencies tailor workplans to specific needs in each State while maximizing their impact on injury and illness rates in smaller establishments. These projects offer a variety of services, including

SALARIES AND EXPENSES—Continued

safety and health program assessment and assistance, hazard identification and control, and training of employers and their employees.

Compliance Assistance: Training Grants.—This activity supports safety and health grants to organizations that provide face-to-face training, education, technical assistance, and develop educational materials for employers and employees. These grants address safety and health education needs related to hard-to-reach workers and specific high-risk topics and industries identified by the agency.

Safety and Health Statistics.—This activity supports information technology infrastructure, management of information, OSHA's webpage and web-based compliance assistance services, and the statistical basis for OSHA's programs and field operations. These are provided through an integrated data network and statistical analysis and review. OSHA administers and maintains the recordkeeping system that serves as the foundation for the BLS survey on occupational injuries and illnesses and provides guidance on recordkeeping requirements to both the public and private sectors.

Executive direction and administration.—This activity supports executive direction, planning and evaluation, management support, legislative liaison, interagency affairs, federal agency liaison, administrative services, and budgeting and financial control.

PROGRAM STATISTICS

	2014 actual	2015 est.	2016 est.
Standards promulgated	5	4	5
Inspections:			
Federal inspections	36,163	37,485	37,785
State program inspections	46,909	46,675	47,567
Whistleblower cases	3,146	3,050	3,150
Training and consultations:			
Consultation visits	26,734	27,250	26,745
Participants trained as a result of Susan Harwood worker training grants ¹	105,922	84,000	80,000
New strategic partnerships	18	12	15
Outreach Training	776,961	700,000	725,000

¹The total number of workers trained in 2014 also included participants trained as part of five 18-month Disaster Relief grants provided to the Department through the Disaster Relief Appropriations Act of 2013 to improve and streamline disaster assistance for Hurricane Sandy.

Object Classification (in millions of dollars)

Identification code 016-0400-0-1-554	2014 actual	2015 est.	2016 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	191	194	210
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	2	3	3
11.9 Total personnel compensation	194	198	214
12.1 Civilian personnel benefits	59	59	63
21.0 Travel and transportation of persons	11	11	11
23.1 Rental payments to GSA	24	24	25
23.3 Communications, utilities, and miscellaneous charges	3	3	3
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	2	2	2
25.2 Other services from non-Federal sources	84	82	90
25.3 Other goods and services from Federal sources	42	41	46
25.7 Operation and maintenance of equipment	14	14	14
26.0 Supplies and materials	3	3	3
31.0 Equipment	6	4	4
41.0 Grants, subsidies, and contributions	111	111	116
99.0 Direct obligations	552	553	592
99.0 Reimbursable obligations	2	2	2
99.9 Total new obligations	554	555	594

Employment Summary

Identification code 016-0400-0-1-554	2014 actual	2015 est.	2016 est.
1001 Direct civilian full-time equivalent employment	2,166	2,224	2,314
2001 Reimbursable civilian full-time equivalent employment	4	3	3

MINE SAFETY AND HEALTH ADMINISTRATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the Mine Safety and Health Administration, **[\$375,887,000] \$394,932,000**, including purchase and bestowal of certificates and trophies in connection with mine rescue and first-aid work, and the hire of passenger motor vehicles, including up to \$2,000,000 for mine rescue and recovery activities [and not less than \$8,441,000 for state assistance grants]: *Provided*, That notwithstanding 31 U.S.C. 3302, not to exceed \$750,000 may be collected by the National Mine Health and Safety Academy for room, board, tuition, and the sale of training materials, otherwise authorized by law to be collected, to be available for mine safety and health education and training activities: *Provided further*, That notwithstanding 31 U.S.C. 3302, the Mine Safety and Health Administration is authorized to collect and retain up to \$2,499,000 from fees collected for the approval and certification of equipment, materials, and explosives for use in mines, and may utilize such sums for such activities: *Provided further*, That the Secretary is authorized to accept lands, buildings, equipment, and other contributions from public and private sources and to prosecute projects in cooperation with other agencies, Federal, State, or private: *Provided further*, That the Mine Safety and Health Administration is authorized to promote health and safety education and training in the mining community through cooperative programs with States, industry, and safety associations: *Provided further*, That the Secretary is authorized to recognize the Joseph A. Holmes Safety Association as a principal safety association and, notwithstanding any other provision of law, may provide funds and, with or without reimbursement, personnel, including service of Mine Safety and Health Administration officials as officers in local chapters or in the national organization: *Provided further*, That any funds available to the Department of Labor may be used, with the approval of the Secretary, to provide for the costs of mine rescue and survival operations in the event of a major disaster: *Provided further*, That the Secretary may reallocate among the items funded under this heading up to \$3,000,000 to support inspections or investigations pursuant to section 103 of the Federal Mine Safety and Health Act of 1977. (Department of Labor Appropriations Act, 2015.)

Program and Financing (in millions of dollars)

Identification code 016-1200-0-1-554	2014 actual	2015 est.	2016 est.
Obligations by program activity:			
0001 Coal	168	168	176
0002 Metal/non-metal	92	92	94
0003 Standards development	5	5	6
0004 Assessments	7	7	8
0005 Educational policy and development	36	36	40
0006 Technical support	34	34	35
0007 Program administration	16	16	16
0008 Program evaluation & information resources	18	18	20
0799 Total direct obligations	376	376	395
0801 Salaries and Expenses (Reimbursable)	2	3	3
0900 Total new obligations	378	379	398
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	376	376	395
1160 Appropriation, discretionary (total)	376	376	395
Spending authority from offsetting collections, discretionary:			
1700 Collected	2	3	3
1750 Spending auth from offsetting collections, disc (total)	2	3	3
1900 Budget authority (total)	378	379	398
1930 Total budgetary resources available	378	379	398
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	40	58	44
3010 Obligations incurred, unexpired accounts	378	379	398
3011 Obligations incurred, expired accounts	1		
3020 Outlays (gross)	-358	-393	-401
3041 Recoveries of prior year unpaid obligations, expired	-3		
3050 Unpaid obligations, end of year	58	44	41
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	40	58	44
3200 Obligated balance, end of year	58	44	41